

## PRIME INDUSTRIES LIMITED

CIN: L15490PB1992PLC012662

Regd. Office: Master Chambers, SCO-19, Feroze Gandhi Market, Ludhiana-141001. Punjab

TEL.: 0161-5043500

E-mail: prime\_indust@yahoo.com

Website: www.primeindustrieslimited.com

Date: 11.02.2023

To,

All Shareholders

Prime Industries Limited,

Regd. Office; SCO-19, Feroze Gandhi Market, Ludhiana-141001, Punjab Email: prime\_indust@yahoo.com

Website: www.primeindustrieslimited.com

Subject: Generating Awareness on availability of Dispute Resolution Mechanism at Stock Exchange against Listed Companies/Registrar to an Issue and Share Transfer Agents (RTAs)

## Dear Shareholders,

This is to inform to all Shareholders of our Company that SEBI has issued letter with reference number SEBI/HO/OIAE/2023/03391 dated January 27, 2023, regarding "Generating Awareness on Availability of Dispute resolution mechanism at Stock Exchanges against Listed Companies/Registrar to an Issue and Share Transfer Agents (RTAs)". In this regard, we would like to inform all shareholders that —

"If you have any dispute against any listed company and or its Registrar and Share Transfer Agent (RTA) on delay or default in processing your request, as per SEBI circular dated 30.05.2022, you can file for arbitration with Stock Exchange."

Regarding SEBI's notification letter dated January 27, 2023, please find enclosed the same for your reference.

Hope you will find the same in order.

Thanking You,

Yours Faithfully

For Prime Industries Limited

ALKA

Digitally signed by ALKA MISHRA Date: 2023.02.11

MISHRA Date: 2023.02.11 11:56:46 +05'30' (Alka Mishra)

Company Secretary and Compliance Officer



## भारतीय प्रतिभूति और विनिमय बोर्ड Securities and Exchange Board of India

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Scan copy by E-mail

SEBI/HO/OIAE/2023/ © 33 9 4 January 27, 2023

To

All Listed Companies (through the Stock Exchanges)

All Registered Registrar to an Issue and Share Transfer Agents (RTAs)

**Kind Attn.: Compliance Officer(s)** 

Sir / Madam.

Generating awareness on availability of Dispute Resolution Mechanism at Stock Exchanges against Listed Companies / Registrar to an Issue and Share Transfer Agents (RTAs)

- 1. It has been decided to enhance the awareness of investors about the availability of arbitration facility at Stock Exchange for their dispute, if any, against listed companies / RTAs.
- 2. Accordingly, all listed companies are hereby advised to issue the following intimation, either by e-mails or by SMSes to all investors, who hold shares in physical form.

"If you have any dispute against a listed company and or its Registrar and Share Transfer Agent (**RTA**) on delay or default in processing your request, as per SEBI circular dated 30.05.2022, you can file for arbitration with Stock Exchange.

For more details, please see the web links of the stock exchanges - BSE - http://tiny.cc/m1l2vz NSE - http://tiny.cc/s1l2vz"

- 3. In this regard, listed companies shall coordinate with their RTAs and shall arrange to issue the aforesaid message, latest by February 20, 2023
- 4. RTAs shall submit an action taken report to SEBI in the following format by email to <a href="mailto:ia-ho@sebi.gov.in">ia-ho@sebi.gov.in</a>, latest by February 27, 2023.

Sr No	Client Name	No. of Folios of physical shares	Number of Folios where e- mail address or mobile number is available	No. of Message sent (either by E-mail or by SMS)
1	Listed			
	Company 1			
2	Listed			
	Company 2			
n	Listed			
	Company n			
Total				

Note: Where both e-mail address and mobile number are available in the folio, then the message may be sent in to any one of these modes. Messages, if sent through both email and SMS to the same holder, it shall be counted as one (1) only.

सेबी भवन, प्लॉट सं. सी 4-ए, "जी" ब्लॉक, बांद्रा-कुर्ला कॉम्प्लेक्स, बांद्रा (पूर्व), मुंबई - 400 051.

दूरभाष : 2644 9950 / 4045 9950 (आई वी आर एस ), 2644 9000 / 4045 9000 फैक्स : 2644 9019 से 2644 9022 वेब : www.sebi.gov.in



## भारतीय प्रतिभूति और विनिमय बोर्ड Securities and Exchange Board of India

5. Additionally, Listed Companies and RTAs are welcome to spread the cited investor protection message through digital modes available at their disposal, including, website, mobile application, social media handle(s) etc.

For any queries / clarification in this regard you may contact us at <u>ia ho@sebi.gov.in</u> or 022 2644 9964 or 022 4045 9964.

Yours sincerely,

(S. Manjesh Roy)

General Manager Investor Awareness Division -2

Copy to:

Chief Regulatory Officer, BSE Ltd. for circulating this letter to its Listed Companies for their compliance.

Chief Regulatory Officer, National Stock Exchange of India Ltd. for circulating this letter to its Listed Companies for their compliance.

(S. Manjesh Roy)